Judge Tana Lin 1 FILED LODGED 2 MAR 01 2023 3 AT SEATTLE

CLERK U.S. DISTRICT COURT

WESTERN DISTRICT OF WASHINGTON 4 5 6 7 UNITED STATES DISTRICT COURT FOR THE WESTERN DISTRICT OF WASHINGTON 8 AT SEATTLE 9 10 UNITED STATES OF AMERICA. 11 NO. CR21-0216-TL Plaintiff, 12 13 v. PLEA AGREEMENT JERRY CHRIS VAN DYKE, 14 a/k/a JERRY WITTEN, 15 Defendant. 16 17 18 The United States, through United States Attorney Nicholas W. Brown and Assistant United States Attorney J. Tate London of the Western District of Washington 19 20 and Defendant Jerry Chris Van Dyke, a/k/a Jerry Witten, and his attorneys, Vanessa Pai-21 Thompson and Rebecca Fish, enter into the following Plea Agreement, pursuant to 22 Federal Rule of Criminal Procedure 11(c)(1)(A) and (B). 23 1. The Charges. Defendant, having been advised of the right to have this 24 matter tried before a jury, agrees to waive that right and enters pleas of guilty to lesser

included offenses of each of the following charges contained in the Indictment:

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Misrepresentation of Indian Produced Goods and Products, as charged in Counts 1 and 2, in violation of Title 18, United States Code, Sections 1159(a), 1159(b)(1)(B) and 2.

By entering these pleas of guilty, Defendant hereby waives all objections to the form of the charging document. Defendant further understands that before entering any guilty plea, Defendant will be placed under oath. Any statement given by Defendant under oath may be used by the United States in a prosecution for perjury or false statement.

2. **Elements of the Offenses**. The elements of the offenses to which Defendant is pleading guilty, i.e., Misrepresentation of Indian Produced Goods and Products, lesser included offenses of those charged in Counts 1 and 2 of the Indictment, are as follows:

First, Defendant knowingly aided and abetted the display and offer for sale, and the sale of goods in a manner that falsely suggested they were Indian produced, an Indian product or the product of a particular Indian and Indian tribe; and

Second, Defendant knew, at all material times, that the goods were not Indian produced, an Indian product, or the product of a particular Indian and Indian tribe.

3. **The Penalties**. Defendant understands that the statutory penalties applicable to the offenses to which Defendant is pleading guilty are as follows: imprisonment for not more than one (1) year, a fine of up to twenty-five thousand dollars (\$25,000.00), one (1) year of supervised release, and a mandatory twenty-five dollar (\$25.00) special assessment penalty. If Defendant receives a sentence of probation, the probationary period can be up to five (5) years.

Defendant understands that supervised release is a period of time following imprisonment during which Defendant will be subject to certain restrictive conditions and requirements. Defendant further understands that, if supervised release is imposed and Defendant violates one or more of the conditions or requirements, Defendant could be returned to prison for all or part of the term of supervised release that was originally

imposed. This could result in Defendant serving a total term of imprisonment greater 1 2 than the statutory maximum stated above. 3 Defendant understands that as a part of any sentence, in addition to any term of imprisonment and/or fine that is imposed, the Court may order Defendant to pay 4 restitution to any victim of the offense, as required by law. 5 Defendant further understands that the consequences of pleading guilty may 6 7 include the forfeiture of certain property, either as a part of the sentence imposed by the 8 Court, or as a result of civil judicial or administrative process. 9 Defendant agrees that any monetary penalty the Court imposes, including the special assessment, fine, costs, or restitution, is due and payable immediately and further 10 agrees to submit a completed Financial Disclosure Statement as requested by the United 11 12 States Attorney's Office. 13 4. Rights Waived by Pleading Guilty. Defendant understands that by pleading guilty, Defendant knowingly and voluntarily waives the following rights: 14 15 The right to plead not guilty and to persist in a plea of not guilty; a. 16 The right to a speedy and public trial before a jury of Defendant's b. peers; 17 18 c. The right to the effective assistance of counsel at trial, including, if Defendant could not afford an attorney, the right to have the Court appoint one for 19 Defendant; 20 21 d. The right to be presumed innocent until guilt has been established 22 beyond a reasonable doubt at trial; 23 The right to confront and cross-examine witnesses against Defendant e. at trial; 24 The right to compel or subpoena witnesses to appear on Defendant's 25 f. behalf at trial; 26 27

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- The right to testify or to remain silent at trial, at which trial such g. silence could not be used against Defendant; and
  - The right to appeal a finding of guilt or any pretrial rulings. h.
- 5. Immigration Consequences. Defendant recognizes that pleading guilty may have consequences with respect to Defendant's immigration status if Defendant is not a citizen of the United States. Under federal law, a broad range of crimes are grounds for removal, and some offenses make removal from the United States presumptively mandatory. Removal and other immigration consequences are the subject of a separate proceeding, and Defendant understands that no one, including Defendant's attorney and the Court, can predict with certainty the effect of a guilty plea on immigration status. Defendant nevertheless affirms that Defendant wants to plead guilty regardless of any immigration consequences that Defendant's guilty plea(s) may entail, even if the consequence is Defendant's mandatory removal from the United States.
- 6. United States Sentencing Guidelines. Defendant understands and acknowledges that the Court must consider the sentencing range calculated under the United States Sentencing Guidelines and possible departures under the Sentencing Guidelines together with the other factors set forth in Title 18, United States Code, Section 3553(a), including: (1) the nature and circumstances of the offenses; (2) the history and characteristics of Defendant; (3) the need for the sentence to reflect the seriousness of the offenses, to promote respect for the law, and to provide just punishment for the offenses; (4) the need for the sentence to afford adequate deterrence to criminal conduct; (5) the need for the sentence to protect the public from further crimes of Defendant; (6) the need to provide Defendant with educational and vocational training, medical care, or other correctional treatment in the most effective manner; (7) the kinds of sentences available; (8) the need to provide restitution to victims; and (9) the need to avoid unwarranted sentence disparity among defendants involved in similar conduct who have similar records. Accordingly, Defendant understands and acknowledges that:

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#### First Purchase and Sale of Defendant's Artwork

On April 16, 2019, undercover agents purchased four carved pendants for \$1,541.40 from RNT. Gallery representatives told the agents that Defendant created the pendants, which were falsely marketed and sold as Indian produced. At the time of the sale, a gallery employee falsely represented that Defendant was a Native American and provided a copy of Defendant's biography, which falsely listed him as a Nez Perce Indian.

#### Second Purchase and Sale of Defendant's Artwork

In October and November 2019, undercover agents communicated with employees and the owner of RNT regarding purchasing artwork/pendants attributed to Defendant, which were offered for sale on the gallery's ecommerce website.

On November 22, 2019, agents purchased two mask pendants from the gallery for \$1,080.00, which the gallery attributed to Defendant and falsely represented as Indian produced. At the time of the sale, a gallery employee falsely represented that Defendant was a Nez Perce Indian.

The parties agree that the Court may consider additional facts contained in the Presentence Report (subject to standard objections by the parties) and/or that may be presented by the United States or Defendant at the time of sentencing, and that the factual statement contained herein is not intended to limit the facts that the parties may present to the Court at the time of sentencing.

9. **Sentencing Factors**. The parties agree that the following Sentencing Guidelines provision applies to this case: the base offense level for Misrepresentation of Indian Produced Goods and Products, as charged in Counts 1 and 2 of the Indictment, is six (6), pursuant to USSG § 2B1.1(a)(2).

The parties agree they are free to present arguments regarding the applicability of all other provisions of the United States Sentencing Guidelines. Defendant understands, however, that at the time of sentencing, the Court is free to reject these stipulated adjustments, and is further free to apply additional downward or upward adjustments in determining Defendant's Sentencing Guidelines range.

- 10. Acceptance of Responsibility. The United States acknowledges that Defendant has assisted the United States by timely providing notice of his intention to plead guilty, thereby permitting the United States to avoid preparing for trial and permitting the Court to allocate its resources efficiently.
- 11. The Parties' Joint Sentencing Recommendation. The parties agree to recommend that the Court impose no term of imprisonment. Defendant understands that this recommendation is not binding on the Court and the Court may reject the recommendation of the parties and may impose any term of imprisonment up to the statutory maximum penalty authorized by law. Defendant further understands that he cannot withdraw a guilty plea simply because of the sentence imposed by the Court. Except as otherwise provided in this Plea Agreement, the parties are free to present arguments regarding any other aspect of sentencing.
- 12. **Abandonment of Contraband**. Defendant also agrees that, if any federal law enforcement agency seized any illegal contraband that was in Defendant's direct or indirect control, Defendant consents to the federal administrative disposition, official use, and/or destruction of that contraband.
- 13. Non-Prosecution of Additional Offenses. As part of this Plea Agreement, the United States Attorney's Office for the Western District of Washington agrees not to prosecute Defendant for any additional offenses known to it as of the time of this Plea Agreement based upon evidence in its possession at this time, and that arise out of the conduct giving rise to this investigation. In this regard, Defendant recognizes the United States has agreed not to prosecute all of the criminal charges the evidence establishes were committed by Defendant solely because of the promises made by Defendant in this Plea Agreement. Defendant agrees, however, that for purposes of preparing the Presentence Report, the United States Attorney's Office will provide the United States Probation Office with evidence of all conduct committed by Defendant.

Defendant breaches this Plea Agreement: (a) the United States may withdraw from this Plea Agreement and Defendant may be prosecuted for all offenses for which the United States has evidence; (b) Defendant will not oppose any steps taken by the United States to nullify this Plea Agreement, including the filing of a motion to withdraw from the Plea Agreement; and (c) Defendant waives any objection to the re-institution of any charges that previously were dismissed or any additional charges that had not been prosecuted.

Defendant further understands that if, after the date of this Plea Agreement,

Defendant should engage in illegal conduct, or conduct that violates any conditions of
release or the conditions of confinement (examples of which include, but are not limited
to, obstruction of justice, failure to appear for a court proceeding, criminal conduct while
pending sentencing, and false statements to law enforcement agents, the Pretrial Services
Officer, Probation Officer, or Court), the United States is free under this Plea Agreement
to file additional charges against Defendant or to seek a sentence that takes such conduct
into consideration by requesting the Court to apply additional adjustments or
enhancements in its Sentencing Guidelines calculations in order to increase the applicable
advisory Guidelines range, and/or by seeking an upward departure or variance from the
calculated advisory Guidelines range. Under these circumstances, the United States is
free to seek such adjustments, enhancements, departures, and/or variances even if
otherwise precluded by the terms of the Plea Agreement.

#### 15. Waiver of Appellate Rights and Rights to Collateral Attacks.

Defendant acknowledges that, by entering the guilty pleas required by this Plea Agreement, Defendant waives all rights to appeal from his conviction, and any pretrial rulings of the Court, and any rulings of the Court made prior to entry of the judgment of conviction. Defendant further agrees that, provided the Court imposes a custodial sentence that is within or below the Sentencing Guidelines range (or the statutory

mandatory minimum, if greater than the Guidelines range) as determined by the Court at the time of sentencing, Defendant waives to the full extent of the law:

- a. Any right conferred by Title 18, United States Code, Section 3742, to challenge, on direct appeal, the sentence imposed by the Court, including any fine, restitution order, probation or supervised release conditions, or forfeiture order (if applicable); and
- b. Any right to bring a collateral attack against the conviction and sentence, including any restitution order imposed, except as it may relate to the effectiveness of legal representation.

This waiver does not preclude Defendant from bringing an appropriate motion pursuant to 28 U.S.C. § 2241, to address the conditions of Defendant's confinement or the decisions of the Bureau of Prisons regarding the execution of Defendant's sentence.

If Defendant breaches this Plea Agreement at any time by appealing or collaterally attacking (except as to effectiveness of legal representation) the conviction or sentence in any way, the United States may prosecute Defendant for any counts, including those with mandatory minimum sentences, that were dismissed or not charged pursuant to this Plea Agreement.

- 16. Voluntariness of Plea. Defendant agrees that Defendant has entered into this Plea Agreement freely and voluntarily, and that no threats or promises were made to induce Defendant to enter pleas of guilty other than the promises contained in this Plea Agreement or set forth on the record at the change of plea hearing in this matter.
- 17. **Statute of Limitations**. In the event this Plea Agreement is not accepted by the Court for any reason, or Defendant breaches any of the terms of this Plea Agreement, the statute of limitations shall be deemed to have been tolled from the date of the Plea Agreement to: (1) thirty (30) days following the date of non-acceptance of the Plea Agreement by the Court; or (2) thirty (30) days following the date on which a breach

of the Plea Agreement by Defendant is discovered by the United States Attorney's Office. 18. Completeness of Plea Agreement. The United States and Defendant acknowledge that these terms constitute the entire Plea Agreement between the parties, except as may be set forth on the record at the change of plea hearing in this matter. This Plea Agreement binds only the United States Attorney's Office for the Western District of Washington. It does not bind any other United States Attorney's Office or any other office or agency of the United States, or any state or local prosecutor. Dated this day of March 2023. Defendant MESSA PAI-THOMPSON Attorney for Defendant REBECCA'FISH Attorney for Defendant Assistant United States Attorney Assistant United States Attorney

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