

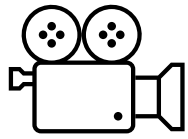


# State and Local Fiscal Recovery Funds: Interim Report

AUGUST 25, 2021

# Housekeeping

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This webinar is being **recorded**. Slides will be available after the session.



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Please use **Q&A box** to submit questions



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Use the **chat** function for any technical difficulties and other comments.

# U.S. DEPARTMENT OF THE TREASURY

**AN INTRODUCTION TO THE**

## **State and Local Fiscal Recovery Funds Treasury's Portal for Recipient Reporting**

August 2021



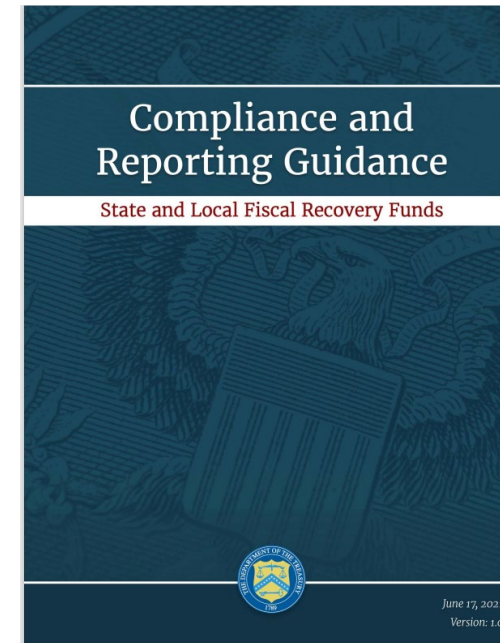
# Compliance and Reporting Guidance Overview

On Jun 17, 2021, Treasury released the SLFRF **Compliance and Reporting Guidance**, which builds on the Interim Final Rule.

All SLFRF recipients are required to meet the applicable compliance and reporting responsibilities noted in the Interim Final Rule.

The Reporting Guidance is broken into two parts:

- (1) General Guidance
- (2) Reporting Requirements



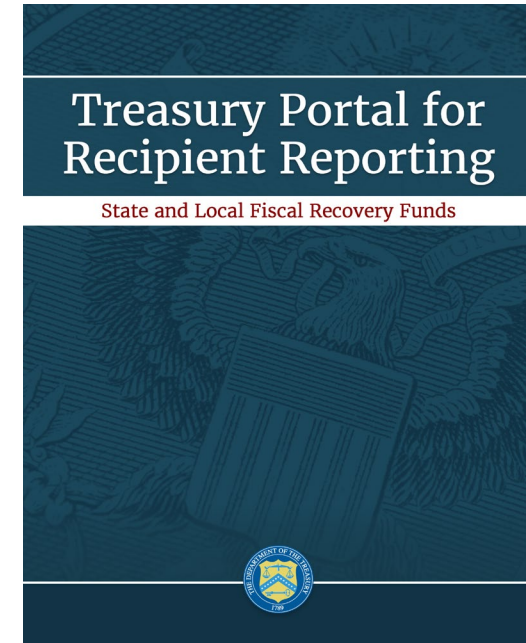
# Treasury's Portal for Recipient Reporting User Guide

The main sections of the User Guide are:

- Reporting Basics
- Navigation and Logistics
- Reporting Requirements
- Interim Report
- Recovery Plan Performance Report

In addition, the User Guide includes the following sections:

- Frequently Asked Questions (FAQ)
- Appendix A: Bulk File Upload Overview
- Appendix B: Key Terminology



## Part 2: Reporting Guidance

# Reporting Requirements- Tribal governments

Tribal Governments submit:

	<b>1. Interim Report</b>	<b>2. Project and Expenditure Report</b>
<b>Contents</b>	<ul style="list-style-type: none"><li>• Initial overview of status and uses of funding</li></ul>	<ul style="list-style-type: none"><li>• Types of projects funded</li><li>• Financial data</li><li>• Information on contracts, grants, and subawards over \$50,000</li></ul>
<b>Frequency &amp; Submission Date</b>	<ul style="list-style-type: none"><li>• One-time</li><li>• By August 31, 2021</li><li>• Covers date of award through July 31, 2021</li></ul>	<ul style="list-style-type: none"><li>• Quarterly</li><li>• By October 31, 2021 and 30 days after the end of each quarter thereafter</li></ul>

# Key Concept: Expenditure Category

An Expenditure Category (EC) is a coding system to track how funding is used, and will also be used to identify where additional programmatic data is required.

Appendix 1 includes a list of 66 Expenditure Categories.

1: Public Health	
1.1	COVID-19 Vaccination ^
1.2	COVID-19 Testing ^
1.3	COVID-19 Contact Tracing
1.4	Prevention in Congregate Settings (Nursing Homes, Prisons/Jails, Dense Work Sites, Schools, etc.)*
1.5	Personal Protective Equipment
1.6	Medical Expenses (including Alternative Care Facilities)
1.7	Capital Investments or Physical Plant Changes to Public Facilities that respond to the COVID-19 public health emergency
1.8	Other COVID-19 Public Health Expenses (including Communications, Enforcement, Isolation/Quarantine)
1.9	Payroll Costs for Public Health, Safety, and Other Public Sector Staff Responding to COVID-19
1.10	Mental Health Services*
1.11	Substance Use Services*
1.12	Other Public Health Services

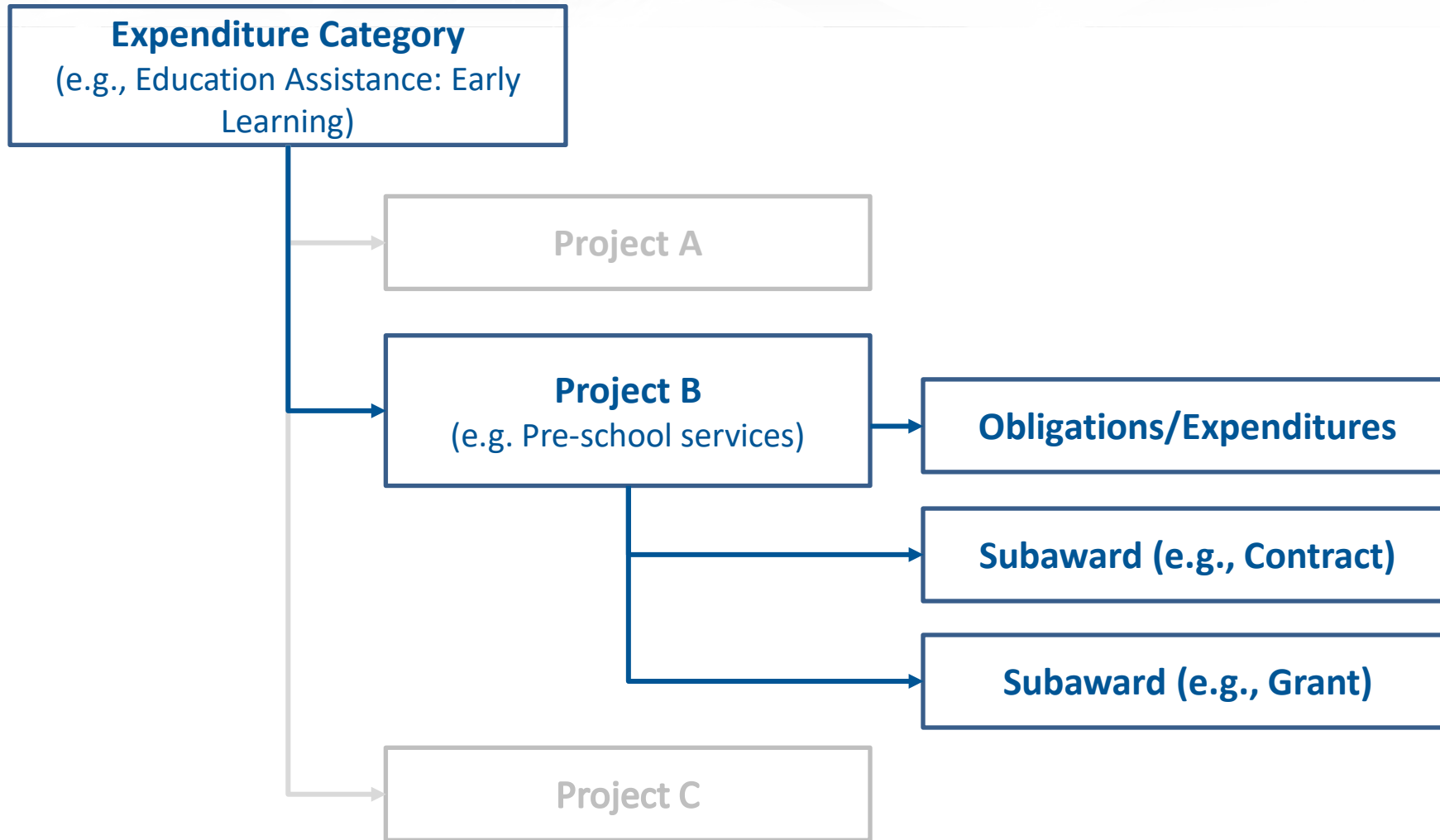


# Key Concepts: Projects

## Projects:

- Closely related activities toward a common purpose/goal
- New or existing services or investments
- Funded in whole or in part by SLFRF funding
- Each project must align to one Expenditure Category. Projects break down an Expenditure Category into more detail.
- Recipients have flexibility to define their projects – but must provide a sufficient level of detail to be able to report on specific activities for each project, including any required program reporting.

# Key Concepts: Relationships



# Interim Report

Initial overview of status and funding (covering spending from March 3 to July 31, 2021) and is due August 31, 2021.

One-time report to provide obligations and expenditures by Expenditure Category at the summary level.

ID	Category	Cumulative Obligations to Date	Cumulative Expenditures to Date
1	Public Health		
1.1	COVID-19 Vaccination	\$1,000,000	\$800,000
1.2	COVID-19 Testing	\$1,800,000	\$1,100,000
1.3	COVID-19 Contact Tracing		
1.4	Prevention in Congregate Settings	\$3,498,000	

## Required Programmatic Data includes:

<b>Revenue Replacement</b>	<ul style="list-style-type: none"><li>• Revenue replacement formula and other revenue calculation details and exclusions</li><li>• If available, use audited data</li><li>• Description of services provided</li></ul>
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# Interim Report

# Frequently Asked Questions (FAQ) and Key Terminology

# SLFRF Resources



## **For More Information:**

Please visit Treasury's State & Local website at [www.treasury.gov/SLFRP](http://www.treasury.gov/SLFRP)

## **To Request Funding:**

Please visit [www.treasury.gov/funding](http://www.treasury.gov/funding)

## **For Media Inquiries:**

Please contact the U.S. Treasury Press Office at (202) 622-2960

## **For General Inquiries:**

Please email [SLFRP@treasury.gov](mailto:SLFRP@treasury.gov)